

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:  
DX0935KSERIAL NO.:  
0952335INFORMATION DISCLOSURE STATEMENT  
FOR PATENT

(Use several sheets if necessary)

APPLICANT:  
Oppmann *et al.*FILING DATE:  
March 9, 2000GROUP:  
To be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE	DATE CLASS APPROPRIATE
	AA	5,457,038					
	AB	5,741,772					
	AC						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
						YES NO
	AD	WO 98/33922				
	AE	WO 99/00415				
	AF	WO 98/11225				
	AG	WO 98/31811				
	AH	WO 98/49307				
	AI	EP 0 759 466				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AJ	DeChiara <i>et al.</i> , "Mice lacking the CNTF receptor, unlike mice lacking CNTF, exhibit profound motor neuron deficits at birth", <i>Cell</i> , 83:313-322 (1995).
AK	Elson <i>et al.</i> , "Definition: 'Homo sapiens cytokine-like factor-1 precursor (CLF-1) mRNA, complete cds'", <i>GenBank</i> , Accession Number AF059293 (1998).
AL	Elson <i>et al.</i> , "Cytokine-like factor-1, a novel soluble protein, shares homology with members of the cytokine type I receptor family", <i>J Immunol</i> , 161:1371-1379 (1998).
AM	Gately <i>et al.</i> , "The interleukin-12/interleukin-12-receptor system: role in normal and pathologic immune responses", <i>Annu Rev Immunol</i> , 16:495-521 (1998).
AN	Gough <i>et al.</i> , "Definition: 'Murine mRNA for leukaemia inhibitory factor (LIF)'", <i>GenBank</i> , Accession Number X06381 (1995).
AO	Habecker <i>et al.</i> , "A sweat gland-derived differentiation activity acts through known cytokine signaling pathways", <i>J Biol Chem</i> , 272:30421-30428 (1997).
AP	McDonald, "Definition: 'Human CNTF gene for ciliary neurotrophic factor'", <i>GenBank</i> , Accession Number X60542 (1991).
AQ	Pennica <i>et al.</i> , "Definition: 'Mus musculus cardiotrophin-1 mRNA, complete cds'", <i>GenBank</i> , Accession Number U18366 (1995).
AR	Pennica <i>et al.</i> , "Definition: 'Human cardiotrophin-1 (CTF1) mRNA, complete cds'", <i>GenBank</i> , Accession Number U43030 (1996).
AS	Saotome <i>et al.</i> , "Definition: 'Mus musculus zinc finger protein (Pzl) mRNA, partial cds, and ciliary neurotrophic factor (Cntf) mRNA, complete cds'", <i>GenBank</i> , Accession Number U05342 (1995).
AT	Shelton, "Are there more members of the CNTF-GPA family?", <i>Persp Devel Neurobiol</i> , 4:101-107 (1996).
AU	Stahl <i>et al.</i> , "Definition: 'Human leukemia inhibitory factor (LIF) gene, complete cds'", <i>GenBank</i> , Accession Number M63420, J05436 (1994).
AV	Fritschert, "Proinflammatory and immunoregulatory functions of interleukin-12", <i>Intern Rev Immunol</i> , 16:363-396 (1998).
AW	Ware <i>et al.</i> , "Targeted disruption of the low-affinity leukemia inhibitory factor receptor gene causes placental, skeletal, neural and metabolic defects and results in perinatal death", <i>Development</i> , 121:1283-1299 (1995).
AX	Database DGENE, Last updated 13-JUN-1999, Result from sequence search using SEQ ID NO: 2, as the query and citing Accession Numbers: 99P-W94466, 98P-W56141, 98P-W29715, 98P-W56142, 98P-W29716, 97P-W29237, 96P-R88204, 95P-R83965; Derwent Information Ltd., London.
AY	Database DGENE, Last updated 13-JUN-1999, Result from sequence search using SEQ ID NO: 12, as the query and citing Accession Numbers: 98P-W59805, 98P-W55012, 98P-W55011, 98P-W59804, 98P-W55014, 98P-W55015, 98P-W55016, 92P-R26334, 97P-W17859, 96P-R94576, 92P-R24273, 92P-R22228, 91P-R10795; Derwent Information Ltd., London.
AZ	Fischer <i>et al.</i> , "A bioactive designer cytokine for human hematopoietic progenitor cell expansion", <i>Nat Biotechnol</i> , 16:142-145 (1997).

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:  
DX0935KSERIAL NO.:  
09/521,335INFORMATION DISCLOSURE STATEMENT  
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## U.S. PATENT DOCUMENTS

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	BA					
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES	NO
	BD WO 95/11303						
	BE WO 99/20755						
	BF WO 99/28462						
	BG WO 99/35264						
	BH WO 99/40195						
	BI						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BJ	Peters <i>et al.</i> , "In vivo and in vitro activities of the gp130-stimulating designer cytokine Hyper-IL-6", <i>J Immunol</i> , 161:3575-3581 (1998).
BK	Rakemann <i>et al.</i> , "The designer cytokine hyper-interleukin-6 is a potent activator of STAT3-dependent gene transcription in vivo and in vitro", <i>J Biol Chem</i> , 274:1257-1266 (1999).
BL	Shi <i>et al.</i> , "Computational EST database analysis identifies a novel member of the neuropoietic cytokine family", <i>Biochem Biophys Res Commun</i> , 262:132-138 (1999).
BM	Senaldi <i>et al.</i> , "Novel neurotrophin-1/B cell-stimulating factor-3: a cytokine of the IL-6 family", <i>Proc Natl Acad Sci USA</i> , 96:11458-11463 (1999).
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